SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	01.5		ine investment Company Act o	1 1 3 4 0			
1. Name and Address of Reporting Person <sup>*</sup> <u>LIGHTSPEED VENTURE</u> <u>PARTNERS VIII LP</u>	2. Date of Ev Requiring Sta (Month/Day/) 09/29/2016	atement (ear)	3. Issuer Name <b>and</b> Ticker or T <u>Nutanix, Inc.</u> [ NTNX				
			4. Relationship of Reporting Pe	erson(s) to Issuer			ate of Original Filed
(Last) (First) (Middle)			(Check all applicable) Director	X 10% Owner	1	th/Day/Year)	
2200 SAND HILL ROAD			Officer (give title	Other (spec	sifu -	lividual or Joint	/Group Filing (Check
(Street)			below)	below)		cable Line)	
MENLO					x	Form filed b	y One Reporting Person y More than One
PARK CA 94025						Reporting Po	erson
· · · · · · · · · · · · · · · · · · ·							
(City) (State) (Zip)							
	Table I - N	lon-Deriva	tive Securities Benefici	-			
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)		orm: Direct (D) (Instr. 5) or Indirect (I)		Beneficial Ownership
Common Stock <sup>(1)</sup>			435,115	D <sup>(2)</sup>			
Common Stock <sup>(1)</sup>			190,363	I	See fo	ootnote <sup>(3)</sup>	
			ve Securities Beneficial		I		
			ants, options, convertib				
1. Title of Derivative Security (Instr. 4)	2. Date Exer Expiration D (Month/Day/	ate	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4		4. Conversion or Exercise Price of	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	or Indirect (I) (Instr. 5)	
Series A Convertible Preferred Stock	(4)	(4)	Common Stock <sup>(1)</sup>	11,139,598	(4)	<b>D</b> <sup>(2)</sup>	
Series A Convertible Preferred Stock	(4)	(4)	Common Stock <sup>(1)</sup>	6,542,304	(4)	I	See footnote <sup>(5)</sup>
Series B Convertible Preferred Stock	(6)	(6)	Common Stock <sup>(1)</sup>	3,707,948	(6)	D <sup>(2)</sup>	
Series B Convertible Preferred Stock	(6)	(6)	Common Stock <sup>(1)</sup>	2,177,683	(6)	I	See footnote <sup>(5)</sup>
Series C Convertible Preferred Stock	(7)	(7)	Common Stock <sup>(1)</sup>	2,347,024	(7)	D <sup>(2)</sup>	
Series C Convertible Preferred Stock	(7)	(7)	Common Stock <sup>(1)</sup>	1,378,411	(7)	I	See footnote <sup>(5)</sup>
Series D Convertible Preferred Stock	(8)	(8)	Common Stock <sup>(1)</sup>	432,187	(8)	D <sup>(2)</sup>	
Series D Convertible Preferred Stock	(8)	(8)	Common Stock <sup>(1)</sup>	253,824	(8)	I	See footnote <sup>(5)</sup>
1. Name and Address of Reporting Person* LIGHTSPEED VENTURE PA	RTNERS VIII L	<u>p</u>	•	•	•	•	
(Loot) (First)	(Middle)	-					
(Last) (First) 2200 SAND HILL ROAD	(Middle)						
(Street) MENLO PARK CA	94025						
(City) (State)	(Zip)	_					
1. Name and Address of Reporting Person <sup>*</sup> Lightspeed General Partner VII	<u>I, L.P.</u>						
(Last) (First) 2200 SAND HILL ROAD	(Middle)						
(Street) MENLO PARK CA	94025	_					
-		- I					

(City)	(State)	(Zip)				
1. Name and Address of Reporting Person <sup>*</sup> Lightspeed Ultimate General Partner VIII, Ltd.						
(Last) 2200 SAND HILL	(First) ROAD	(Middle)				
(Street) MENLO PARK	СА	94025				
(City)	(State)	(Zip)				
1. Name and Address of Reporting Person <sup>*</sup> Lightspeed Venture Partners VII, L.P.						
(Last) 2200 SAND HILL	(First) ROAD	(Middle)				
(Street) MENLO PARK	СА	94025				
(City)	(State)	(Zip)				
1. Name and Address o Lightspeed Gen	f Reporting Person <sup>*</sup> eral Partner VII,	<u>L.P.</u>				
(Last) 2200 SAND HILL	(First) ROAD	(Middle)				
(Street) MENLO PARK	СА	94025				
(City)	(State)	(Zip)				
1. Name and Address of Reporting Person <sup>*</sup> Lightspeed Ultimate General Partner VII, Ltd.						
(Last) 2200 SAND HILL	(First) ROAD	(Middle)				
(Street) MENLO PARK	СА	94025				
(City)	(State)	(Zip)				
1. Name and Address of Reporting Person <sup>*</sup> $\underline{Eggers Barry}$						
(Last) 2200 SAND HILL	(First) ROAD	(Middle)				
(Street) MENLO PARK	CA	94025				
(City)	(State)	(Zip)				
1. Name and Address o <u>Nieh Peter</u>	f Reporting Person <sup>*</sup>					
(Last) 2200 SAND HILL	(First) ROAD	(Middle)				
(Street) MENLO PARK	CA	94025				
(City)	(State)	(Zip)				
1. Name and Address o	f Reporting Person <sup>*</sup>					

SCHAEPE CHRISTOPHER J						
(Last) 2200 SAND HILI	(First)	(Middle)				
(Street) MENLO PARK	СА	94025				
(City)	(State)	(Zin)				

## Explanation of Responses:

1. Each share of common stock shall be reclassified into one share of Class B common stock immediately prior to the completion of the Issuer's initial public offering of Class A common stock (the "IPO") in an exempt transaction pursuant to Rule 16b-7.

The shares are held of record by Lightspeed Venture Partners VIII, L.P. ("Lightspeed VIII"). Lightspeed Ultimate General Partner VIII, L.L. ("LUGP VIII") is the sole general partner of Lightspeed General Partner VIII, L.P., which serves as the sole general partner of Lightspeed VIII. Barry Eggers, Ravi Mhatre, Peter Y. Nieh and Christopher J. Schaepe, the directors of LUGP VIII, share voting and dispositive power with respect to the shares held of record by Lightspeed VIII. Each reporting person disclaims beneficial ownership of such shares except to the extent of his or its pecuniary interest therein.
The shares are held of record by Lightspeed Venture Partners Select, L.P. ("Lightspeed Select"). Messrs. Eggers, Mhatre, Nieh and Schaepe are four of the six directors of the ultimate general partner of Lightspeed Select and share voting and dispositive power with respect to the shares held of record by Lightspeed to the shares held of record by Lightspeed Venture Partners Select, L.P. ("Lightspeed Select"). Messrs. Eggers, Mhatre, Nieh and Schaepe are four of the six directors of the ultimate general partner of Lightspeed dispositive power with respect to the shares held of record by Lightspeed Select. Each reporting person disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

4. The Series A convertible preferred stock shall automatically convert into common stock on a 1:1 basis immediately prior to the completion of the IPO and has no expiration date.

5. The shares are held of record by Lightspeed Venture Partners VII, L.P. ("Lightspeed VII"). Lightspeed Ultimate General Partner VII, Ltd. ("LUGP VII") is the sole general partner of Lightspeed General Partner VII, L.P., which serves as the sole general partner of Lightspeed VII. Messrs. Eggers, Mhatre, Nieh and Schaepe, the directors of LUGP VII, share voting and dispositive power with respect to the shares held of record by Lightspeed VII. Each reporting person disclaims beneficial ownership of such shares except to the extent of his or its pecuniary interest therein.

6. The Series B convertible preferred stock shall automatically convert into common stock on a 1:1 basis immediately prior to the completion of the IPO and has no expiration date.

7. The Series C convertible preferred stock shall automatically convert into common stock on a 1:1 basis immediately prior to the completion of the IPO and has no expiration date.

8. The Series D convertible preferred stock shall automatically convert into common stock on a 1:1 basis immediately prior to the completion of the IPO and has no expiration date.

## Remarks:

Mr. Mhatre is a director of the Issuer and files a separate report on Form 3 with respect to his beneficial ownership of Issuer securities held by the Lightspeed entities.

securities held by the Lightspeed entities.	
<u>/s/ Ravi Mhatre, director of</u> <u>Lightspeed Ultimate General</u> <u>Partner VIII, Ltd., the sole</u> <u>general partner of Lightspeed</u> <u>General Partner VIII, L.P., the</u> <u>sole general partner of Venture</u> <u>Partners VIII, L.P.</u>	<u>09/29/2016</u>
<u>/s/ Ravi Mhatre, director of</u> <u>Lightspeed Ultimate General</u> <u>Partner VIII, Ltd., the sole</u> <u>general partner of Lightspeed</u> <u>General Partner VIII, L.P.</u>	<u>09/29/2016</u>
<u>/s/ Ravi Mhatre, director of</u> <u>Lightspeed Ultimate General</u> <u>Partner VIII, Ltd.</u>	<u>09/29/2016</u>
<u>/s/ Ravi Mhatre, director of</u> <u>Lightspeed Ultimate General</u> <u>Partner VII, Ltd., the sole</u> <u>general partner of Lightspeed</u> <u>General Partner VII, L.P., the</u> <u>sole general partner of Venture</u> <u>Partners VII, L.P.</u>	<u>09/29/2016</u>
<u>/s/ Ravi Mhatre, director of</u> <u>Lightspeed Ultimate General</u> <u>Partner VII, Ltd., the sole</u> <u>general partner of Lightspeed</u> <u>General Partner VII, L.P.</u>	<u>09/29/2016</u>
<u>/s/ Ravi Mhatre, director of</u> <u>Lightspeed Ultimate General</u> <u>Partner VII, Ltd.</u>	<u>09/29/2016</u>
<u>/s/ Barry Eggers</u>	<u>09/29/2016</u>
/s/ Peter Y. Nieh	<u>09/29/2016</u>
<u>/s/ Christopher J. Schaepe</u> ** Signature of Reporting Person	<u>09/29/2016</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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