SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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iled pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	lress of Reporting I AMI RAJIV	Person*	2. Issuer Name and Ticker or Trading Symbol <u>Nutanix, Inc.</u> [NTNX]		5. Relationship of Reporting Person(s) to Issue (Check all applicable) X Director 10% Own						
(Last) (First) (Middle) C/O NUTANIX, INC.			3. Date of Earliest Transaction (Month/Day/Year) 08/28/2023	x	Officer (give title below) President an	Other (specify below) d CEO					
1740 TECHN	OLOGY DR., S	UITE 150	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line)	6. Individual or Joint/Group Filing (Check Appli Line)						
(Street) SAN JOSE CA 95110				X	Form filed by One Re	porting Person					
					Form filed by More than One Reporting Person						
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication								
				Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.							
		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

L	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
[1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
				Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
	Class A Common Stock	08/28/2023		Α		46,015 ⁽¹⁾	A	\$ <mark>0</mark>	466,707	D			
	Class A Common Stock	08/28/2023		Α		91,767 ⁽²⁾	A	\$ <mark>0</mark>	558,474	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		Expiration Date of S (Month/Day/Year) Unc Der		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(3)	08/29/2023		A		254,151		(4)	(4)	Class A Common Stock	254,151	\$0	254,151	D	

Explanation of Responses:

1. On October 11, 2021, the Reporting Person was granted performance-based restricted stock units ("FY 2022 PRSUs"). The FY 2022 PRSUs are eligible to vest in up to three installments based on the total shareholder return of the Issuer over one-, two- and three-year performance periods, each commencing on August 1, 2021, relative to the total shareholder return of companies in the NASDAQ Composite Index. On August 28, 2023, the Compensation Committee of the Issuer's Board of Directors determined that the achievement percentage for the second performance period was 150.9630%, but, under the terms of the FY 2022 PRSUs, the achievement percentage was capped at 100%, resulting in these shares becoming eligible to vest on September 15, 2023, subject to the Reporting Person continuing to provide service to the Issuer's to the start of the Issuer's Data of Directors determined that the achievement percentage to resting the total shareholder return of the Issuer's Board of Directors determined that the achievement percentage for the second performance period was 150.9630%, but, under the terms of the FY 2022 PRSUs, the achievement percentage was capped at 100%, resulting in these shares becoming eligible to vest on September 15, 2023, subject to the Reporting Person continuing to provide service to the Issuer through the vesting date.

2. On August 25, 2022, the Reporting Person was granted performance-based restricted stock units ("FY 2023 PRSUs"). The FY 2023 PRSUs are eligible to vest in up to three installments based on the total shareholder return of the Issuer over one-, two- and three-year performance periods, each commencing on August 1, 2022, relative to the total shareholder return of companies in the NASDAQ Composite Index. On August 28, 2023, the Compensation Committee of the Issuer's Board of Directors determined that the achievement percentage for the first performance period was 200% but, under the terms of the FY 2023 PRSUs, the achievement percentage was capped at 100%, resulting in these shares becoming eligible to vest on September 15, 2023, subject to the Reporting Person continuing to provide service to the Issuer through the vesting date.

3. Each Restricted Stock Unit, or RSU, represents a contingent right to receive one share of Issuer's Class A common stock.

4. The RSUs will vest in 16 equal quarterly installments, with the first of such quarterly installments to vest on December 15, 2023, subject to the Reporting Person continuing to provide service to the Issuer through the applicable vesting date.

<u>/s/ Raymond Hum, Attorney in</u> Fact	08/30/2023
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.