SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bu	ırden
hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre	ess of Reporting Person	*	2. Issuer Name and T Nutanix, Inc. [ng Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) C/O NUTANIX	(First)	(Middle)	3. Date of Earliest Tra 12/15/2023	ansaction (Mor	nth/Day/Year)	X X	Director Officer (give title below) President	10% C Other below t and CEO	(specify		
1740 TECHNOLOGY DR., SUITE 150		8 150	4. If Amendment, Dat	e of Original F	iled (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)			-			X	Form filed by One	Reporting Pers	on		
SAN JOSE						Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Rule 10b5-1(c) Transa	ction Indication						
			X Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.								
	Ta	ble I - Non-Deriv	vative Securities A	Acquired, E)isposed of, or Benefi	cially	Owned				
1. Title of Security	y (Instr. 3)	2. Transacti Date	on 2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an	d 5)	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect		

1. The of Security (insu: 5)	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Class A Common Stock	12/15/2023		М		23,662	A	\$0	322,990	D	
Class A Common Stock	12/15/2023		М		58,447	A	\$0	381,437	D	
Class A Common Stock	12/15/2023		М		8,628	A	\$0	390,065	D	
Class A Common Stock	12/15/2023		М		17,206	A	\$0	407,271	D	
Class A Common Stock	12/15/2023		М		15,884	A	\$0	423,155	D	
Class A Common Stock	12/15/2023		F		65,197 ⁽¹⁾	D	\$46.32	357,958	D	
Class A Common Stock	12/18/2023		S ⁽²⁾		24,439	D	\$46.0456(3)	333,519	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puis, cans, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of		6. Date Exerc Expiration Da (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(4)	12/15/2023		М			23,662	(5)	(5)	Class A Common Stock	23,662	\$ 0	94,651	D	
Restricted Stock Units	(4)	12/15/2023		М			58,447	(6)	(6)	Class A Common Stock	58,447	\$0	233,786	D	
Restricted Stock Units	(4)	12/15/2023		М			8,628	(7)	(7)	Class A Common Stock	8,628	\$0	60,395	D	
Restricted Stock Units	(4)	12/15/2023		М			17,206	(8)	(8)	Class A Common Stock	17,206	\$0	189,271	D	
Restricted Stock Units	(4)	12/15/2023		М			15,884	(9)	(9)	Class A Common Stock	15,884	\$0	238,267	D	

Explanation of Responses:

1. Represents shares withheld by the Issuer to satisfy tax withholding obligations arising from the vesting of Reporting Person's Restricted Stock Units, or RSUs.

2. This transaction was effected automatically pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on June 22, 2023.

3. The reported price in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$45.85 to \$46.31 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

4. Each RSU represents a contingent right to receive one share of the Issuer's Class A common stock.

5. The RSUs vested as to 25% of the underlying shares on December 15, 2021, with 1/16th of the remaining shares vesting quarterly thereafter subject to the Reporting Person continuing to provide service to the Issuer through each vesting date.

6. As previously reported, on December 9, 2020, the Reporting Person was granted a target number of 703,117 performance-based RSUs ("PRSUs") subject to two stock price milestones. 133% of the target number of PRSUs became eligible to vest upon achievement of both milestones. The eligible PRSUs vested as to 25% of the underlying shares on December 15, 2021, with 1/16th of the remaining shares vesting quarterly thereafter, subject to the Reporting person continuing to provide service to the Issuer through each vesting date.

7. The RSUs vest in 16 equal quarterly installments, with the first of such quarterly installments having vested on December 15, 2021, subject to the Reporting Person continuing to provide service to the Issuer through each vesting date.

8. The RSUs vest in 16 equal quarterly installments, with the first of such quarterly installments having vested on December 15, 2022, subject to the Reporting Person continuing to provide service to the Issuer through each vesting date.

9. The RSUs vest in 16 equal quarterly installments, with the first of such quarterly installments having vested on December 15, 2023, subject to the Reporting Person continuing to provide service to the Issuer through each vesting date.

/s/ Raymond Hum, Attorney in Fact 12/18/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.