## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person <sup>*</sup> Williams Duston			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Nutanix, Inc.</u> [ NTNX ]		ationship of Reporting Pe < all applicable) Director	10% Owner	
(Last) C/O NUTANI	(First) X, INC. OLOGY DRIVI	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/15/2019		Officer (give title below) Chief Financia	Other (specify below) Il Officer	
(Street) SAN JOSE (City)	CA (State)	95110 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filir Form filed by One Rej Form filed by More tha Person	porting Person	

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class A Common Stock	12/15/2019		М		25,000	A	\$ <mark>0</mark>	143,597	D	
Class A Common Stock	12/15/2019		М		7,500	A	\$ <mark>0</mark>	151,097	D	
Class A Common Stock	12/15/2019		М		6,250	A	\$ <mark>0</mark>	157,347	D	
Class A Common Stock	12/15/2019		М		9,375	A	\$ <mark>0</mark>	166,722	D	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puts, cans, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		n of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	12/15/2019		М			25,000	(2)	(2)	Class A Common Stock	25,000	\$0	100,000	D	
Restricted Stock Units	(1)	12/15/2019		М			7,500	(3)	(3)	Class A Common Stock	7,500	\$0	60,000	D	
Restricted Stock Units	(1)	12/15/2019		М			6,250	(4)	(4)	Class A Common Stock	6,250	\$0	75,000	D	
Restricted Stock Units	(1)	12/15/2019		М			9,375	(5)	(5)	Class A Common Stock	9,375	\$0	140,625	D	

#### Explanation of Responses:

1. Each restricted stock unit, or RSU, represents a contingent right to receive one share of Issuer's Class A common stock.

The RSUs vest in 12 equal quarterly installments beginning on March 15, 2018, subject to Reporting Person continuing to provide service to the Issuer through the applicable vesting dates.
The RSUs vest in 16 equal quarterly installments beginning on March 15, 2018, subject to Reporting Person continuing to provide service to the Issuer through the applicable vesting dates.
The RSUs vest in 16 equal quarterly installments beginning on March 15, 2019, subject to Reporting Person continuing to provide service to the Issuer through the applicable vesting dates.
The RSUs vest in 16 equal quarterly installments beginning on March 15, 2019, subject to Reporting Person continuing to provide service to the Issuer through the applicable vesting dates.

5. The RSUs vest in 16 equal quarterly installments beginning on December 15, 2019, subject to Reporting Person continuing to provide service to the Issuer through the applicable vesting dates.

**Remarks:** 

/s/ Olive Huang, by power of attorney

12/17/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.