SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

Estimated average burden hours per response: 0.5

1. Name and Addr Potti Sunil	ess of Reporting F	Person*	2. Issuer Name and Ticker or Trading Symbol <u>Nutanix, Inc.</u> [NTNX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
	(First) (Middle) IUTANIX, INC. FECHNOLOGY DRIVE, SUITE 150		3. Date of Earliest Transaction (Month/Day/Year) 10/13/2017	X Officer (give title Other (specify below) below) Chief Product/Development Ofcr
(Street) SAN JOSE (City)	CA (State)	95110 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3) 2. Tran Date (Month)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Class A Common Stock	10/13/2017		М		43,750	A	\$ <mark>0</mark>	158,353(1)	D		
Class A Common Stock	10/16/2017		S		10,000(2)	D	\$26.8691 ⁽³⁾	148,353	D		
Class A Common Stock	10/17/2017		F		17 , 938 ⁽⁴⁾	D	\$26.51	130,415	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/N	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(5)	10/13/2017		М			43,750	(6)	(6)	Class A Common Stock	43,750	\$0	315,179	D	

Explanation of Responses:

1. Number of shares owned includes shares acquired under the Nutanix, Inc. Employee Stock Purchase Plan ("ESPP") on September 20, 2017.

2. The sale reported was effected pursuant to the Reporting Person's 10b5-1 Plan.

3. The price reported is a weighted average price. These shares were sold in multiple transactions at sale prices ranging from \$26.54 to \$27.48. The Reporting Person undertakes to provide the full information regarding the number of shares sold at each separate price upon further request.

4. Represents shares sold to cover the tax liability arising from the vesting of Reporting Persons's Restricted Stock Unit, or RSU.

5. Each RSU represents a contingent right to receive one share of Issuer common stock.

6. On April 28, 2017, 393,750 RSUs vested and became issuable and an additional (i) 306,250 RSUs vest in 11 equal quarterly installments beginning on July 13, 2017; (ii) 50,000 RSUs vest in 14 equal quarterly installments beginning on July 28, 2017; and (iii) 50,000 RSUs vest in 16 equal quarterly installments beginning on January 28, 2018.

Remarks:

/s/ Olive Huang, by power of	
attorney	

10/17/2017

** Signature of Reporting Person D

n Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.