SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

1. Name and Add Sangster Da	ress of Reporting I avid	Person*	2. Issuer Name and Ticker or Trading Symbol <u>Nutanix, Inc.</u> [NTNX]		tionship of Reporting Pe all applicable) Director	rson(s) to Issuer 10% Owner	
(Last) C/O NUTANI	(First) X, INC.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/15/2017	_ x	Officer (give title below) EVP, Opera	Other (specify below) ations	
1740 TECHNOLOGY DRIVE, SUITE 150							
(Street) SAN JOSE (City)	CA (State)	95110 (Zip)	 4. If Amendment, Date of Original Filed (Month/Day/Year) 	6. Indiv Line) X	idual or Joint/Group Filir Form filed by One Rep Form filed by More tha Person	porting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Class A Common Stock	09/15/2017		М		12,500	Α	\$ <mark>0</mark>	89,000	D	
Class A Common Stock	09/18/2017		F		6,609 ⁽¹⁾	D	\$22.0673	82,391	D	
Class A Common Stock	09/19/2017		S		5,891 ⁽²⁾	D	\$22.3893 ⁽³⁾	76,500	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(4)	09/15/2017		М			12,500	(5)	(5)	Class A Common Stock	12,500	\$0	87,500	D	

Explanation of Responses:

1. Represents shares sold to cover the tax liability arising from the vesting of Reporting Persons's Restricted Stock Unit, or RSU.

2. The sale reported was effected pursuant to the Reporting Person's 10b5-1 Plan.

3. The price reported is a weighted average price. These shares were sold in multiple transactions at sale prices ranging from \$22.26 to \$22.87. The Reporting Person undertakes to provide the full information regarding the number of shares sold at each separate price upon further request.

4. Each restricted stock unit, or RSU, represents a contingent right to receive one share of Issuer common stock.

5. 12,500 RSUs shall vest and become issuable quarterly beginning on June 15, 2017 and ending on June 15, 2019.

Remarks:

/s/ Olive Huang, by power of

attorney

09/19/2017

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.